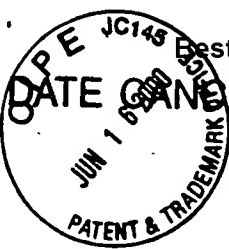


MAIL DATE CANCELLED



06-19-00

GP/1632
#5
att.

ATTORNEY DOCKET NO. ROBU.003.01 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

In re application of: Buelow, *et al.*

Serial No.: 09/498,537

Filed: 02/04/00

Title: **HUMAN POLYCLONAL ANTIBODIES
FROM GENETICALLY ENGINEERED
ANIMALS**

) Examiner: Not Yet Assigned

) Art Unit: 1632

) INFORMATION DISCLOSURE
) STATEMENT TRANSMITTAL

JUN 21 2000

TECH CENTER 1600/2900

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231



Sir:

Transmitted herewith is:

[X] An Information Disclosure Statement.

[X] A PTO-1449.

[] International Search Report

[X] Return postcard (postage prepaid).

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: E163719296845

Date of Deposit: 6-15-00

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

David Winslow

(Signature)

David Winslow

(Printed Name)

JUN 11 2000

1. ☒ This Information Disclosure Statement is filed before the later of: TECH CENTER 1500/2900
- ☐ three months from the filing date of this national application;
- ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
- ☒ the mailing date of a first office action on the merits.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- (1) a final action under 37 C.F.R. § 1.113; or
- (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
- ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
- (1) a final action under 37 C.F.R. § 1.113; or
- (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
- (1) A certification, as stated below;
- (2) A Petition requesting consideration of the Information Disclosure Statement; and
- (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

TOTAL FEES: \$ 0

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ _____.
- ☐ A check in the amount of \$ _____ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: June 9, 2000

Barbara Rae-Venter

Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

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Palo Alto, CA 94306-0039
Telephone (650) 328-4400
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BRV/slb

MAIL DATE CANCELLED

PATENT



ATTORNEY DOCKET NO. ROBU.003.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Buelow, *et al.*

) Examiner: Not Yet Assigned

Serial No.: 09/498,537

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) **INFORMATION DISCLOSURE**
) **STATEMENT**

Title: **HUMAN POLYCLONAL ANTIBODIES
FROM GENETICALLY ENGINEERED
ANIMALS**

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 C.F.R. §1.98 and pursuant to Applicant's continuing duty under 37 C.F.R. §1.56 to bring any information which may be material to patentability of this application to Examiner's attention.

Copies of missing references C1-18 will be submitted under separate cover upon our receipt.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: E463719296845

Date of Deposit: 6-15-00

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David Winslow
(Signature)

David Winslow
(Printed Name)

- ☐ Several of the enclosed publications were cited in a foreign examination report in a related case. A copy of the search report is attached.
- ☐ The search report is not in the English language and a translation of the search report is enclosed.
- ☐ Enclosed in an explanation of the relevance of non-English publications for which an English translation is not available.
- ☐ The explanation is in the form of an English language search report.

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: June 9, 2000

Barbara Rae-Venter
Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

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